



RECEIPT  
#9

PATENT  
Attorney Docket No. INK-067 (2108/56)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Wilcox et al.  
SERIAL NO.: 09/464,264 GROUP NO.: 2721  
FILING DATE: December 17, 1999 EXAMINER: Not Yet Assigned  
TITLE: ELECTRONIC INK DISPLAY MEDIA FOR SECURITY AND AUTHENTICATION

TC 2700 MAIL ROOM

AUG - 4 2000

RECEIVED

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 21st day of July, 2000.

  
Michael D. Feiler

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

- (1) Transmittal Form (1 page);
- (2) Second Request for Corrected Filing Receipt (2 pages);
- (3) Copy of incorrect filing receipt (1 page);
- (4) Copy of First Request for Corrected Filing Receipt (2 pages);
- (5) Copy of the check in the amount of \$25.00 submitted with First Request for Corrected Filing Receipt (1 page); and
- (6) Return receipt postcard.

Bastiamj2108/56.1029968-1



# TRANSMITTAL FORM

|                           |                   |
|---------------------------|-------------------|
| Application Serial Number | 09/464,264        |
| Filing Date               | December 17, 1999 |
| First Named Inventor      | Wilcox            |
| Group Art Unit            | 2721              |
| Examiner Name             | Not Yet Assigned  |
| Attorney Docket No.       | INK-067 (2108/56) |

RECEIVED  
AUG -4 2000  
TC 2700 MAIL ROOM

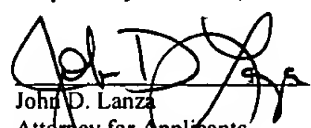
## ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final (under C.F.R. § 1.129)<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney<br><br>(Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group Notice of Appeal<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)<br><input checked="" type="checkbox"/> Second Request for Corrected Filing Receipt<br><br><input checked="" type="checkbox"/> Copy of incorrect filing receipt<br><input checked="" type="checkbox"/> Copy of First Request for Corrected Filing Receipt and copy of check for Fee for Request for Corrected Filing Receipt under 37 C.F.R. 1.19 (h) |
|--|--|--|

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
John D. Lanza  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Date: July 21, 2000  
Reg. No. 40,060  
Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100



PATENT  
Attorney Docket No. INK-067 (2108/56)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Wilcox et al.

SERIAL NO.: 09/464,264

GROUP NO.: 2721

FILED: December 17, 1999

EXAMINER: Not Yet Assigned

TITLE: ELECTRONIC INK DISPLAY MEDIA FOR SECURITY AND  
AUTHENTICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX MISSING PARTS

TC 2700 MAIL ROOM

AUG - 4 2000

RECEIVED

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Applicants had originally requested and paid for a corrected filing receipt, however, Applicants' requested corrections were not entered. Attached as Exhibit A is a copy of applicant's original Request for Corrected Filing Receipt and a copy of the check in the amount of \$25.00 submitted therewith. According, Applicants believe that no fee is due with the submission of this Second Request for Corrected Filing Receipt.
2. An error remains with respect to the following data for which correction has been requested and a fee under 37 C.F.R. 1.19 (h) of \$25.00 has been paid, which is:

☒ incorrectly entered

and/or

☐ omitted

**Error In**

**Correct Data**

1. ☒ Applicant's name
2. ☒ Applicant's name
3. ☐ Applicant's address
4. ☐ Title
5. ☐ Filing Date
6. ☐ Serial Number
7. ☐ Foreign/PCT Application Re:
8. ☒ Other:

Continuing Data As Claimed By Applicant

1. Russell J. Wilcox
2. Barrett Comiskey
- 3.
- 4.
- 5.
- 6.
- 7.
8. Provisional Application No.  
60/112,882, 12/18/98  
Provisional Application No.  
60/119,393, 2/10/99

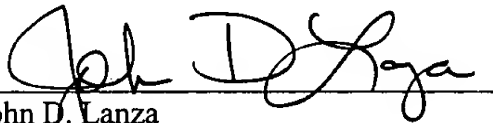
3. *(complete the following applicable item A, B or C)*

- A. ☐ The correction(s) is/are not due to any error by applicant(s) and no fee is due.
- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:
- ☐ enclosed is a check for \$25.00.
- ☐ charge Account No. 20-0531 \$25.00.
- C. ☒ At least one of the above corrections is due to applicant's error and the fee therefor under 37 C.F.R. 1.19 (h) of \$25.00 has been paid as follows:
- ☒ paid by check for \$25.00.
- ☐ paid by charge to Account No. 20-0531 of \$25.00.

Respectfully submitted,

Date: July 21, 2000  
Reg. No. 40,060

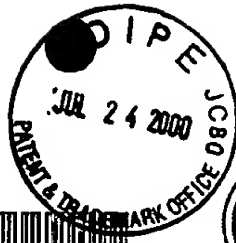
Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

  
\_\_\_\_\_  
John D. Lanza  
Attorney for Applicants  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

## FILING RECEIPT



\*OC000000005199697\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/464,264         | 12/17/1999  | 2721         | 874           | INK-067         | 15       | 46         | 8          |

021323  
TESTA HURWITZ & THIBEAULT  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

RECEIVED  
AUG - 4 2000  
TC 2/00 MAIL ROOM  
Date Mailed: 06/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Russell  
Russell J. Wilcox, Natick, MA ;  
Barrett, Comiskey, Cambridge, MA ;  
Comiskey

RECEIVED

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/112,882 12/18/1998  
WHICH CLAIMS BENEFIT OF 60/119,393 02/10/1999  
AND

JUL 10 2000  
TESTA, HURWITZ & THIBEAULT, LLP

## Foreign Applications

If Required, Foreign Filing License Granted 03/07/2000

\*\* SMALL ENTITY \*\*

## Title

Electronic ink display media for security and authentication

## Preliminary Class

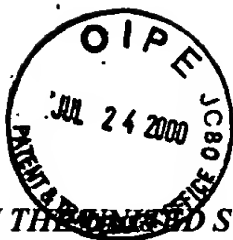
382

Data entry by : HOPKINS, AUDREY

Team : OIPE

Date: 06/26/2000





PATENT  
Attorney Docket No. INK-067 (2108/56)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Wilcox et al.

SERIAL NO.: 09/464,264

GROUP NO.: 2721

FILED: December 17, 1999

EXAMINER: Not Yet Assigned

TITLE: ELECTRONIC INK DISPLAY MEDIA FOR SECURITY AND AUTHENTICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX MISSING PARTS

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted

**Error In**

**Correct Data**

1. ☒ Applicant's name
2. ☒ Applicant's name
3. ☐ Applicant's address
4. ☐ Title
5. ☐ Filing Date
6. ☐ Serial Number
7. ☐ Foreign/PCT Application Re:
8. ☒ Other:

1. Russell J. Wilcox
2. Barrett Comiskey

3.

4.

5.

6.

7.

8.

Continuing Data As Claimed By Applicant

Provisional Application No.  
60/112,882, 12/18/98  
Provisional Application No.  
60/119,393, 2/10/99

TC 2700 MAIL ROOM

AUG - 4 2000

RECEIVED

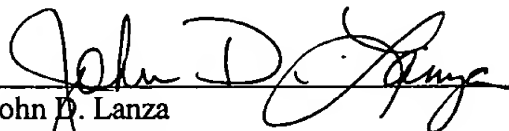
3. *(complete the following applicable item A or B)*

- A. ☐ The correction(s) is/are not due to any error by applicant(s) and no fee is due.
- B. ☒ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:
- ☒ enclosed is a check for \$25.00.
- ☐ charge Account No. 20-0531 \$25.00.

Respectfully submitted,

Date: June 6, 2000  
Reg. No. 40,060

Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

  
John D. Lanza  
Attorney for Applicants  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

1001506

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

Testa, Hurwitz & Thibault, LLP  
125 High Street  
Boston, MA 02110

BankBoston, N.A.  
Boston, Massachusetts 02110

5-39/110

Date:

176628

May 22, 2000

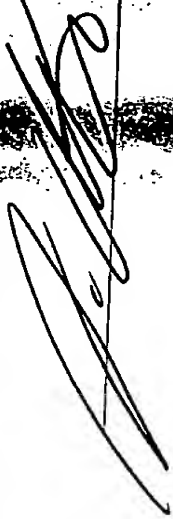
Pay: Twenty-five and 00/100

\*\*\*25.00\*\*\*

PAY  
TO THE  
ORDER OF:

Commissioner of Patents and Trademarks

Testa, Hurwitz & Thibault, LLP



176628

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

176628 10100039015123317

Payee: Commissioner of Patents and Trademarks  
Vendor ID: COMMPA1

Check #: 176628  
Check Date: 05/22/2000

| Invoice Num | Invoice Date | Reference | Invoice Amount | Discount Taken | Amount Paid |
|-------------|--------------|-----------|----------------|----------------|-------------|
| 058528      | 05/22/2000   |           | 25.00          | 0.00           | 25.00       |
| Totals:     |              |           | \$25.00        | \$0.00         | \$25.00     |